

Article



## Developing an Alternative Calculation Method for the Smart Readiness Indicator Based on Genetic Programming and Linear Regression

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**Abstract:** The European Union is planning to introduce a new tool for evaluating smart solutions in buildings—the Smart Readiness Indicator (SRI). As 54 energy efficiency categories must be evaluated, the triage process can be long and time-intensive. Altogether, 228 data points (or inputs) about the smartness of the buildings are required to complete the evaluation. The present paper proposes an alternative calculation method based on genetic programming (GP) for the calculation of Domains and linear regression (LR) for the calculation of Impact Factors and the total SRI score of the building. This novel calculation requires 20% (Domain ventilation and dynamic building envelope) to 75% (Domain cooling) fewer inputs than the original methodology. The present study evaluated 223 case study buildings, and 7 genetic programming models and 8 linear regression models were generated based on the results. The generated results are precise; the relative deviation from the experimental data for Domain scores (modelled with GP) ranged from 0.9% to 2.9%. The R<sup>2</sup> for the LR models was 0.75 for most models (with two exceptions, with one with a value of 0.57 and the other with a value of 0.98). The developed method is scalable and could be used for preliminary and portfolio-level screening at early-stage assessments.



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Copyright: © 2025 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/ licenses/by/4.0/). **Keywords:** SRI; modelling; genetic programming; linear regression; energy efficient buildings; smart buildings; optimisation

## 1. Introduction

The Green Deal defined the transformation of the European energy market in December 2019. Due to the policies related to it, the European energy market needs to be founded on the principles of energy security, energy efficiency, decarbonisation, research, innovation, and competitiveness [1]. Energy-efficient buildings are integral to the energy frameworks established in Europe. Worldwide, buildings account for 30% of the total final energy consumption [2]. In Europe, the consumption of buildings represents 40% of the final energy [3]. Furthermore, 75% of the buildings in the EU are still energy inefficient [4]. Therefore, EU Member States should strive for a cost-effective balance between decarbonising the energy supply and reducing the final energy consumption [5]. Swift advancement is essential to attain superior construction and extensive energy refurbishments in edifices that diminish the industry's total energy requirement and carbon density [2]. An increase is needed in renewable energy sources [6]. One of the essentials for the clean energy revolution is energy-efficient buildings.

In the European Energy Performance of Buildings Directive (EPBD), from 30 May 2018, the idea of a new tool for promoting energy efficiency was presented for the first time. This tool is called the Smart Readiness Indicator (SRI). The SRI was developed as a mechanism to evaluate the ability of buildings to incorporate information and communication technologies (ICT) [5]. Therefore, building automation has been receiving greater attention lately. A more thorough integration of building automation systems and additional advanced technologies within the building sector is essential [7]. Building automation systems possess the capability to decrease energy usage and enhance building functionality, oversight and upkeep, while simultaneously elevating the satisfaction levels of occupants [8]. Different stakeholders (building users, owners, investors, etc.) need to be informed about the added value provided by ICT in buildings [9].

The SRI aims to assess the following aspects of the technological readiness of buildings:

- The ability to respond adaptively to the demands of the occupants.
- The ability to facilitate maintenance and ensure optimal performance.
- The ability to adapt in response to the energy grid circumstances [10].

A "market pull" and a "market push" are needed for the transformation of the energy market [6]. The intention of the SRI is to encourage comprehension of the positive aspects of smart buildings with respect to energy efficiency. It ought to encourage cooperation between the energy, construction, and ICT sectors in the building industry [11]. All parts of the real-estate market must cooperate in a coordinated approach [12]. The Smart Readiness Indicator endeavours to accomplish this objective by integrating the requirements of the occupants, facilities and energy grids in accordance with the vision for a sustainable energy transition [13]. The result should be an optimised mix of various energy sources, user occupancy, and grid flexibility [14]. It is expected that the SRI will be particularly beneficial for large buildings with a large energy demand [15]. The implementation of modern energy systems consisting of battery storage systems, photovoltaic production, and flexible loads through cooperative and individual optimisation scenarios would be accelerated with the help of the SRI [16]. Furthermore, the SRI could accelerate the implementation of the smart cities' vision [17].

The SRI was previously evaluated in different ways. Various authors [18–23] believe that the SRI will function as a supplement to the energy performance certificates (EPCs). Others [9,19,24,25] pointed out that the triage process (the process in which the data of the building is collected and later evaluated so that the SRI score can be calculated) is too subjective and can hardly be replicated. Some researchers claim that the buildings must have identical properties for consistent and comparable results [26]. A study pointed out that the SRI is not suitable for buildings under monument protection conditions [27]. Other researchers pointed out that the results of the SRI evaluation should be used alongside other performance measures to fully understand the energy and functional performance of smart buildings [28]. A study conducted on 59 high-performance buildings in South Tyrol, Italy, came to the conclusion that the readiness levels varied across categories and that there was no direct correlation between the SRI and the energy performance [29].

Some studies propose the combination of quantitative and qualitative measures that would make the triage process more objective. By defining clear indicators and standards for different impact areas, the creation of standardised SRI scores across various building types and climates would be possible [30]. The idea of combining SRI with custom KPIs and minimum thresholds also appears in another study [31]. Also, a study conducted on Italian case studies proposed a tailored approach by adjusting the service inclusion and weighting factors. Also, the final SRI score would improve significantly [32]. Some authors have proposed a hybrid methodology that would integrate SRI assessments into traditional EN 16247 [33] energy audits. This would enable a more comprehensive evaluation of both

energy efficiency and a building's readiness for smart technologies [34]. The authors of a comparable study also came to similar conclusions [35].

There are papers suggesting the usage of digital twins and BIM. This solution would help in reducing subjectivity and provide more reliable and comparable scores across different building types, systems and climate zones [36]. When comparing the SRI with the EN ISO 52120 [37], authors also advocate for the use of BIM and digital twin technologies to improve the accuracy of SRI evaluations. The study also underlines that regional differences in technical systems can influence the SRI outcomes [38].

The European Union is in the middle of the Renovation Wave, which aims to renovate 35 million buildings by 2030 [39]. The EU Renovation Wave Strategy aims to (at least) double the building renovation rate by 2030, with a focus on improving energy performance and digital readiness, including SRI implementation [39]. The required funding is supported by the dedicated EU Green Deal Investment Plan [40].

The original SRI evaluation method is time-consuming, as it often requires multiple site visits, coordination with building staff (such as maintenance personnel or energy managers), the collection of technical documentation and blueprints, and finally, manual data entry into the official SRI calculation Excel tool. Therefore, this method is hard to apply on a large scale. Considering this, there is a clear need for a new, alternative method of calculating the SRI that reduces the needed inputs and keeps the desired accuracy. The present paper uses genetic programming (GP) and linear regression (LR) for the calculation of SRI scores. Genetic programming is an evolutionary computation technique that solves problems automatically without requiring the user to know or specify the form or structure of the solution in advance. At the most fundamental theoretical level, GP constitutes a systematic, Domain-independent framework for facilitating autonomous problem-solving by computers [41]. It initiates from a generalised declaration of what must be accomplished and generates a computer program to address the problem autonomously [42]. GP is simulating natural selection and the principles of genetics, often reducing the complexity of finding solutions [43].

GP has found its place in many applications related to buildings. Studies report the usage of GP in finding the optimal window–wall ratio [44], optimising the building design to reduce HVAC (Heating, Ventilation, and Air Conditioning) demands [45] and energy costs [46], optimising space allocation problems [47], and finding alternative building designs [48]. Also, its role in solving other engineering problems was reported, such as in finding the optimal cross-sectional areas of structural members [49].

Linear regression (LR) is a robust statistical technique designed to ascertain the correlation between the independent input variables (i.e., the explanatory variables) of the system and the dependent output variable (i.e., the response of a system) [47] and to identify models with the "optimal fit" for the data [48]. In LR, the dependent variable is represented as a linear function of a set of regression coefficients and a stochastic error.

To the maximum extent of the authors' knowledge, only a few papers have tackled the development of alternative calculation methods for the SRI. One paper is from 2019, by Markoska et al. [20], and emphasises performance testing (PTing). They claim that PTing frameworks are a solution that utilises metering and sensors for real-time performance monitoring. To work properly, a metamodel of the building is needed, with a layer of hardware abstraction that incorporates operational information, and a minimum SRI score of 23% [20]. This is also the most significant limitation that the authors highlighted. The paper, however, does not state the accuracy of the developed method.

The second paper that deals with an alternative SRI method is from 2023, by the authors Yu Ye et al. [50], and describes the development of the tool SmartWatcher<sup>©</sup>. The instrument provides a solution to assess the intelligence of buildings through the utilisation

of automated natural language processing. The developers formulated a mechanism that transforms verbal data into quantitative information to evaluate smart readiness in buildings. It was examined on eight trial buildings. The outcomes indicated that the approach had potential for enhancement. The paper reported a success rate of 73.61% and a hit rate of 66.57%.

The third paper that discussed a new SRI calculation method is from 2024, by the authors Carnero et al. [51]. A novel approach (semi-automated) was presented, which evaluates SRI scores. For this it used the building information modelling (BIM) and industry foundation classes (IFC) schema. The IFC schema is a standardised, open data format that enables detailed digital descriptions of building components and systems. The study describes the following four-step process: interpretation, model preparation, execution, and reporting. The study identified and assessed 60–80% of smart-ready services, especially in HVAC and electrical systems. The authors reported time saving, improved accuracy, and a support of the wider use of digital tools in the assessment of smart solutions in buildings.

Table 1 compares this study with the three relevant papers described earlier. Unlike prior works that relied either on metadata models (Markoska et al.) [20], NLP-based interpretations (Ye et al.) [50] or BIM-based rule interpretation (Carnero et al.) [51], our approach pioneers a hybrid data-driven (GP + LR) method to assess the SRI. The method is suited for real-world and digital model SRI evaluations. Automation of the method is also planned.

Feature/Study	Markoska et al. [20] (2019)—PTing Framework + SRI Automation	Ye et al. [50] (2023)— SmartWatcher NLP	Carnero et al. [51] (2023) (INNOVA)	This Study (2025)— GP + LR Modelling
Assessment method	Rule-based scoring using metadata	NLP-based tool for interpreting system descriptions	IFC rule-checking for semi-automated SRI evaluation	Data-driven modelling using genetic programming (GP) + linear regression (LR)
Tool/ platform	Prototype software	Web-based platform with SmartWatcher engine	IFC-compatible BIM checking engine	Models work in Visual Basic, MS Excel, etc.
Data requirements	Structured metadata	Text descriptions of technical systems	Detailed and well-structured IFC models	Low to medium; works with basic SRI questionnaire input
Scope of SRI Domains	Broad but incomplete (based on the available metadata)	Covers most Domains where textual data exists	Mainly HVAC and electrical systems (~60–80% SRI coverage)	Two Domains not possible to model, namely EV charging and monitoring and control
Innovation highlighted	Metadata-based automation concept	First use of NLP for smartness evaluation	First IFC-based rule automation for SRI scoring	First GP + LR (reduces inputs) models trained on real-world, SRI evaluation of case studies (buildings)
Contribution	Early demonstration of automated logic for SRI assessment	Helped to automate SRI input interpretation using NLP	Showed how BIM files can partially automate an SRI evaluation	GP + LR models with reduced inputs that are transparent and trainable on different/expanded datasets, scalable

Table 1. Comparison of this study to the related studies.

In general, our research is divided into two parts. The first part focuses on developing a model to predict the SRI scores. In future research, this model will be used to read live data from real case study buildings and calculate the SRI scores which will represent a step towards automated SRI evaluation of buildings.

The paper is organised in the following manner: Section 2 describes the Methods used in our study. The experimental setup and data collection are described in Section 2.1. This is followed by research data preparation for modelling in Section 2.2. Section 3 is dedicated to modelling. Section 3.1 specifies the approach to modelling Domains, followed by a discussion on the modelling of impact factors in Section 3.2, and the comprehensive evaluation of the total SRI building score in Section 3.3. Section 4 describes the outcomes of the modelling process. The findings of Domain modelling are presented in Section 4.1, the results pertaining to the Impact Factors' modelling are detailed in Section 4.2, and the overall SRI score of the building is presented in Section 4.3. Section 5 offers a discussion on the results of the paper and their significance. The concluding observations are articulated in Section 6. The closing remarks are also presented in Section 6.

#### 2. Methods

GP and LR modelling are used to create prediction models that can predict the Domain scores, Impact Factors, and total building SRI score. The descriptive method is used to describe the facts and to examine and describe the results. All the terms and definitions employed in this study adhere to the official Smart Readiness Indicator (SRI) methodology as established by the European Commission, in collaboration with the SRI Support Team comprising VITO (Belgium), Waide Strategic Efficiency (Ireland), R2M Solution (France), and the Luxembourg Institute of Science and Technology (LIST) [52].

#### 2.1. Experimental Setup and Data Collection

The study began with experimental work spanning over two years, namely 2021 and 2023, whereby 223 case study buildings were evaluated in Slovenia. The case study buildings were then classified by purpose of use according to the SRI methodology. This distribution is represented in Figure 1.

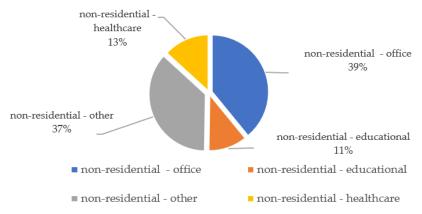


Figure 1. Distribution of the case studies by purpose of use (experimental work).

According to the SRI methodology, the case study buildings are in the southeastern region. The SRI evaluation or the triage process began with one or multiple visits to the facility, data collection about the smart systems installed in the building, document reading (plans of mechanical and electrical installations, etc.), and interviews with the facility manager(s).

The data on the buildings' built-in systems and how systems are controlled and monitored were collected carefully. After the data collection phase, the evaluation of the SRI score was performed with the official Excel calculation tool version 4.5 provided by the SRI support team (also known as the "Clipboard method" [50]). The default Method B was used for the SRI calculation, as it suits most building types [52].

#### 2.2. Research Design and Data Preparation for Modelling

The original SRI methodology evaluates the smartness of buildings in three different categories, namely the Domains, Impact Factors, and total SRI score of the building [51]. The subcategories of these scores are presented in Table 2.

Cat	tegory	Subcategories	Notes
1.	Domains [%]	1. Heating	
		2. Domestic hot water	
		3. Cooling	
		4. Ventilation	
		5. Lighting	
		6. The dynamic building envelope	
		7. Electricity	
		8. Electric vehicle charging	
		9. Monitoring and control	
2.	Impact Factors [%]	1. Energy efficiency	
	1	2. Energy flexibility and storage	
		3. Comfort	
		4. Convenience	
		5. Health, well-being, and accessibility	ity
		6. Maintenance and fault prediction	-
		7. Information to occupants	
3.	Total SRI score of the building [%]	This score has no subcategories	Considered as a single functional category

**Table 2.** The results of the SRI building evaluation—three categories.

Every category of the results analyses "the smartest" in buildings in different ways. Domains and Impact Factors have subcategories against the total SRI score, an independent score with no subcategories. The subcategories or "services"—in the terminology of the SRI methodology—have different service levels. The purpose of service levels is to find the one that describes how energy systems are managed and controlled in the building. Every service level is described in 3–5 levels (depending on the service). The categories follow one another from the simplest to the most complex. The total number of all service levels is 231, e.g., the first smart service is heating (Code H-1a). The methodology proposes 5 possible levels [52], namely "0-No automatic control", "1-Central automatic control (e.g., a central thermostat)", "2-Individual room control (e.g., thermostatic valves or an electronic controller)", "3-Individual room control with communication between controllers and to BACS" (Building Automation and Control System), and "4-Individual room control with communication and occupancy detection". For example, "level 0"—"no automatic control was labelled" H1A1, "level 1"-"Central automatic control (e.g., a central thermostat)" received the name H1A2, etc. (the complete conversion table is provided in the Appendix A).

The first step of data preparation was assigning every service level with an index. A conversion table was prepared (see Tables A1–A9 in Appendix A) that translates the individual service levels into a shorter form that can be used in the prediction models.

The second step was to gather all the data from the experimental work in a large spreadsheet, as presented in Table 1. All 223 different case study buildings were listed in the leftmost column. The top row lists all 231 service levels (with the assigned indexes). The experimental evaluation was performed as follows: when a level described the situation in the building perfectly, it scored 1. If the level did not describe the situation, it was assigned a 0. If the building did not have a particular system installed, the Domain received a score of 2 (e.g., if a building did not have the option of charging electric vehicles, then all the levels received the score 2). The principle of how all the buildings' evaluation data were prepared is presented in Table 3.

"Monitoring and control "Heat emission "Heat emission control— A single platform that allows Case study buildings/ control Central automatic control automated control & service level (e.g., a central thermostat)" coordination between TBS + no emission control" optimisation of energy flow based on occupancy, weather, and grid signals" LABEL H1A1—first input H1A2 MC304—last input Case study building 1 0 1 0 Case study building 2 0 0 0 0 1 1 Case study building 3 0 Case study building 223 1 1

Table 3. The raw data Table containing the case study buildings and service levels [52] (parameters).

The next step was to develop models for individual Domains with GP.

#### 3. Modelling

This section presents the modelling of the Domains in Section 3.1, followed by the modelling Impact Factors in Section 3.2 and the total SRI building score in Section 3.3.

#### 3.1. Modelling Domains Using GP

The decision to select GP as the Domain modelling method was based on our positive prior experience in various engineering fields. These included solving general engineering problems [53,54] and energy optimisation problems [55–57], where it has provided accurate and transparent results consistently. The generated mathematical models can be inspected, analysed, and interpreted directly. The discrete input data needed for Domain modelling (0, 1, 2) were especially well-suited for GP, which excels at handling symbolic, rule-based relationships. After the Domain scores were determined, linear regression (LR) was selected for modelling the Impact Factors and total SRI because of its efficiency, straightforwardness, and clarity. Our chosen approach strikes a balanced compromise between accuracy, efficiency, and practical usability. Although more sophisticated approaches, such as random forests or SVMs, might provide marginally improved predictive precision, they generally compromise on interpretability and demand more computational power. Our chosen approach strikes a balanced compromise between accuracy on a larger scale, efficiency, and practical usability.

GP mimics the processes of natural selection. If the organism is successful in its quest for survival, its descendants will inherit its properties. The end goal of GP is to find the perfect model that describes our observed phenomenon. The fundamental working principle of GP is presented in the following Equation (1) [54]:

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"t = 0 create staring population P(t) evaluate starting population P(t) continue change P(t) -> P(t + 1) evaluate P(t + 1) t = t + 1"

These steps are repeated until the stopping criterion is met.

By crossing organisms, we are creating populations that become better and better at fitting in by solving a technical problem, i.e., developing an individual equation that represents a model that forecasts results—in our case the Domain scores.

The following basic mathematical operations were used to initiate different combinations in genes [53]:

- "addition (+)";
- "subtraction (–)";
- "multiplication (\*)";
- "and division (/)".

The computer program for generating mathematical models was written in the programming language AutoLisp inside the AutoCAD CAD/CAM systems (AutoCAD Release 14, Autodesk, San Rafael, CA, USA) [53]. The generated results were saved in multiple.txt files, with a set for each Domain. The batch was selected from the dataset with the lowest relative deviation from the experimental data between the best model of the individual generation and the experimental raw data results. The following evolutionary settings were used for the GP system:

- "tournament size for selection operation 6.0".
- "maximal permissible depth in the creation of the population: 30".
- "maximal permissible depth after the operation of crossover: 20".
- "reproduction probability [%]: 0.7".
- "crossover probability [%]: 0.2".
- "number of organisms: 500".
- "tournament selection method with tournament size: 7".
- "number of independent runs: 50" [54].

A total of 50 generations of models were developed for every Domain. For the winning one, we selected the last generation, since it was the most accurate. The winning models by individual Domains are presented in Equations (2)–(8). The models predicted the Domain scores with a relative deviation that is stated below. Variables (M33, H2D5, H2B3, etc.) represent the smart service level of an evaluated building. The conversion Table of indexes and services is presented in Appendix A, Tables A1–A9.

Each index used in the model (2, 3, 4, 5, 6, 7, and 8) represents a specific smart service within the building. A corresponding conversion Table, listing all the smart services and their assigned indexes, is provided in the Appendix A. The inputs in variables are discrete values (0, 1, or 2), as described in the previous section. If the smart level is presented, the parameter receives a value of 1; if it is not, it receives a value of 0. If the smart service can-not be evaluated in the building (if the building does not have a specific system, for example), the parameter receives a value of 2. The Domain scores are calculated directly by inserting discrete values, as described earlier. The equation form of the genetic programming models is presented in Appendix B.

(1)

3.1.1. Score Prediction Model for the Heating Domain

Generation: 50 (from 50)

Equation (2) presents the model that predicted the scores for the heating Domain. The relative deviation from the experimental scores was 2.26%.

## Domain Heating =

(+ (+ (- (\* (- H33 H2D5) H2B3) (- (+ H1C2 H31) (\* (- 7.16973 (+ 5.81691 H2D1)) (\* (- (- (+ (- H33 H2D2) H2B3) (- (+ H1C2 (+ (\* H2D5 H1A5) H31)) (\* (- 7.16973 (+ 5.81691 H2D1)) 7.16973))) (- (+ (\* (- (+ (- H33 H2D2) H2B3) (- (+ H1C2 H31) (\* (- 7.16973 (+ 5.81691 H2D1)) H2D2))) (- H33 H1B2)) H44) (- (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ H33 (+ H1C2 H2B3)) (- (+ (+ (\* H2D5 H33) (+ H1A5 (+ (\* H2B1 H1A4) H33))) (+ H1A5 (+ (\* (% H2B1 H1A5) H1A4) H31))) (\* (% (+ (- H33 9.66955) (- (\* H2D5 H2B3) (- (+ H1C2 (- (\* (% H2D2 (+ (\* H2B1 H2D2) H2D1)) (+ H1C2 (+ (\* H2D5 H1A5) H31))) H31))) (\* (+ (\* H2B1 H1A4) H2D1) H31))) (+ (- (+ H1C2 (- H31 H31)) (\* (% H2D2 H2D1) H2D2)) H2D1)) H2D1))))) H35)))) (+ (- (\* H2D5 H2B3) (- (+ H1C2 (- (+ H1C2 H31) (\* (- 7.16973 (+ 9.66955 H2B1) (+ (% H2B1 H1A4) H2D1)))) H31)))) (\* (- (- (+ (- H33 H2D2) H2B3) (- (+ H1C2 H31) (\* (- 7.16973 (+ 5.81691 H2D1))) H31))) (+ (- (\* (% H1F1 H2D2) (- H33 H1B2))) H44) (- (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* (% H2D2 (+ (\* H2B1 H1A4) H32))) (- (+ (\* (% H1F1 H2D2) (- H33 H1B2))) H44) (- (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* (% H2D2 (+ (\* H2B1 H1A4) H31)))) (\* (% (+ 9.66955 (- (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* H2B1 H1A4) H33)))) (+ H1A5 (+ (\* H2B1 H1A4) H31)))) (\* (% (+ 9.66955 (- (\* H2D5 H2B3) (- (% H2D2 (+ (\* H2B1 H1A4) H31)))) (+ (- (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* H2D5 H2B3) (- (+ H1C2 H31) (- (+ (\* H2B1 H1A4) H31))))))))))))

3.1.2. Score Prediction Model for the Domestic Hot Water Domain

Generation: 50 (from 50)

Equation (3) presents the model that predicted the domestic hot water Domain scores. The relative deviation from the experimental scores was 2.53%.

## Domain Domestic hot water =

(\* (- (+ (% (+ (% (% DHW1D1 DHW2B5) DHW1A4) (+ DHW1B1 DHW2B5)) DHW1A4) (+ (% -3.14511 DHW1B1) DHW1D3)) (- (% -3.14511 (\* (% DHW1D1 DHW1A2) DHW1B3)) (- (+ DHW2B1 9.18609) DHW32))) (\* (% (\* (- DHW1D3 (- (% -3.14511 DHW1B3) (- (+ DHW2B1 9.18609) DHW32))) (\* (% (% (- (\* (% DHW1D1 DHW1A2) DHW1D1) DHW1A4) (% (+ DHW31 DHW2B2) DHW34))) (+ DHW2B1 DHW1D3)) (\* (\* DHW1A4 DHW1B1) (+ DHW31 (\* (% (% DHW34 (% -3.14511 DHW1D3))) (\* (\* DHW1A4 DHW1B1) (+ DHW31 (+ DHW2B1 DHW2B5)))) DHW1D3))) (\* (\* DHW1A4 DHW1B1) (+ DHW31 (- (+ DHW2B1 9.18609) DHW2B5)))) 3.21647)))

3.1.3. Score Prediction Model for the Cooling Domain

Generation: 50 (from 50)

Equation (4) presents the model that predicted the scores for the cooling Domain. The relative deviation from the experimental scores was 2.97%.

## Domain Cooling =

(2)

3.1.4. Score Prediction Model for the Ventilation Domain

Generation: 50 (from 50)

Equation (5) presents the model that predicted the scores for the ventilation Domain. The relative deviation from the experimental scores was 1.50%.

## Domain Ventilation =

(+ (+ (+ (\* (\* (% (- 8.4716 (% (- V31 - 5.25128) (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 V1A3)))) V62) V33) V64) (- 8.4716 (% (+ (\* (- (\* (% V64 V33) (- V31 (% (\* (% V2C2 V1C5) V2D2) (\* (\* (% (- 8.4716 (% (\* (- V2C3 (- V1C2 V1C2)) (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 - 5.25128))) (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 V1A3)))) V62) V33) V1C1)))) (% V1A2 (+ (\* (- (\* (- (\* V61 (+ V1C3 (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 V2C3)))) (- V1C2 V61)) (- 8.4716 (% (- V31 (% (\* (- 8.4716 (% (\* (- (\* V61 (+ V1C3 V2C3))) (- V1C2 V1C2)) (% (\* (% V2C2 V1C5) V2D2) - 5.25128)) V1C5)) V2D2) (+ V1C3 - 5.25128))) (% (\* (% V2C2 V1C5) V2D2) (- V1C3 V1C3)))) V1C2) V1A5) V1A5))) V2D3) (\* (% (- 8.4716 (- 8.4716 (% (- V31 - 5.25128))) (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 - 5.25128))) (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 V1A3))))) V62) - 5.25128)) (% (% (- (% V64 V1C1) (% V1A2 (% V1A2 (+ (\* (\* (% (- 8.4716 (% (- V2C3 (- V1C2 V1C2)) (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 - 5.25128)))) (% (\* (% V2C2 (+ V1C3 V1C5)) V2D2) (+ V1C3 V1A3)))) V62) V33) V1A5) V2D1))) (% (% V2D1 (+ V1A2 (- 6.96117 V2D1)))) V2D2)) V1C4)))) (- (+ V64 (- 8.4716 (% (- V31 (% (\* (% V2C2 V1C5) V2D2) (+ V1C3 - 5.25128)))) (% (\* (% V2C2 V1C5) V2D2) (- 8.4716 (% (+ (\* V1A5 V2D3) - 5.25128)) (% (% (\* (+ V34 V1A3) (% V64 V2D2))) (% V2C2 (+ V64 (\* (+ (\* V1A5 V2D3) 1.53678) V2D2)))) 6.96117))))))) V61)) (- 6.96117 V33)) V1C2))

3.1.5. Score Prediction Model for the Lighting Domain

Generation: 50 (from 50)

Equation (6) presents the model that predicted the scores for the lighting Domain. The relative deviation from the experimental scores was 1.36%.

## *Domain Lighting* =

(\* (\* (% (-L25 L1A3) (\* (- (- (+ L21 (\* (% (+ (+ L23 L23) L1A3) L1A1) 3.27069) (- L25 L1A4))) L24) L22) L1A1)) (- (- L25 L22) (+ (- (\* (+ (- (- L25 L25) L23) (+ (+ L23 L23) L22)) -0.160899) (\* (% (% (- L25 L1A3)) L1A1) (+ L23 3.27069)) (% L24 (+ L1A4 L21)))) (- (\* (+ (+ L23 L23) L22) -0.160899) (\* (% (% (+ L23 L1A3) (- L25 L1A3)) L1A1) (- (+ (+ (% L24 (+ L1A4 L1A4)) L1A2) (% L22 L22)) (+ (+ L21 (- (\* (+ (- L25 L23) L22) (- L22 (+ (- L25 L21) (- (\* (+ (+ L23 L23) L22) -0.160899) -0.160899))))) (\* (\* (% (+ (+ L23 L23) L1A3) L1A1) (- L25 3.27069))))))))) (+ (% (\* 3.27069 (+ (\* (% L24 (+ L1A4 L1A3)) (\* L1A3 (- (+ L1A1 (% (+ L23 L1A3) L22)) (\* (- (- (+ L21 (- (\* (+ (+ (\* L1A3 (- L1A2 L1A2)) (% (% L1A2 L22) L23)) L22) (- L22 (+ (% (% L1A2 L22) L21) (- (\* (+ (+ L23 L23) L22) -0.160899) -0.160899))))) (\* (\* (% (+ (+ L23 L23) L1A3) L1A1) 3.27069) (% L24 (+ L1A4 L1A4))))) L24) L22) L1A2)))) (% (% L1A2 L22) L24))) (+ (% L24 (+ L1A4 L1A4))) L1A2)) (% (+ L23 L23) L1A3))))

3.1.6. Score Prediction Model for the Dynamic Building Envelope (DBE) Domain

Generation: 50 (from 50) Equation (7) presents the model that predicted the dynamic building envelope Domain scores. The relative deviation from the experimental scores was 0.92%.

## Domain Dynamic building envelope =

(setq ideal '(+ (\* (- DE41 (- 5.87984 (\* DE11 DE22))) (- (+ DE44 (+ DE44 (- DE15 (+ (+ (+ (- DE42 DE44) (\* (% DE22 DE11) (% (- (+ (- DE42 DE13) (+ (- DE22 DE13) (\* (% (+ (- DE42 DE13) (+ (- (\* (% DE22 DE11) (% (- DE22 DE24) 5.87984))) (\* (% (- DE22 DE13) (\* (% (+ (- DE42 DE13) (+ (- (\* (% DE22 DE11) (% (- DE22 DE24) 5.87984))) (\* DE11 DE13)) (\* (% (\* (- DE43 DE12) (% DE13 (\* (- DE41 (- 5.87984 (\* DE11 DE22))) (- (+ DE44 (+ DE44 (- DE15 (+ (+ (- DE42 DE44) DE13) DE23)))) (+ (+ DE44 DE14) (+ (+ (\* DE11 (- DE22 DE13))) (+ (- (7) DE22 DE13) DE22)) DE22)))))) (\* DE11 DE11)) (- DE42 DE22)))) DE11) (+ (- DE22 DE13) (+ (- DE22 DE13) (\* (% (\* (- DE43 DE12) DE22) DE11) (+ (- DE22 DE13) DE24)))) DE14)))) (+ (+ (- (+ (- DE42 DE13) (+ (- DE22 DE13) (\* (% (+ (- DE22 DE13) (\* (% (+ (- DE42 DE44) (\* (% DE22 DE11) (% DE24 DE11)))) (- DE42 DE22))))) DE11) (+ (- DE42 DE44) (\* (% DE22 DE11) (% DE24 DE11))) (- DE42 DE22)))) DE11) (+ (- DE42 DE44) (\* (% DE22 DE11) (% DE24 DE11))) (- DE42 DE22)))) DE11) (+ (- DE42 DE44) (\* (% DE22 DE11) (% DE24 DE11))) (- DE42 DE22)))) DE11) (+ (- DE42 DE44) (\* (% DE22 DE11) (% DE24 DE11))) (- DE42 DE22)))) DE11) (+ (- DE42 DE44) (\* (% DE22 DE11) (% DE24 DE11))) (- DE42 DE22)))) DE11) (+ (- DE42 DE44) DE22)))) DE13) DE22) (- DE42 DE42))))

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(8)

(10)

#### 3.1.7. Score Prediction Model for the Electricity Domain

Generation: 50 (from 50)

Equation (8) presents the model that predicted the scores for the electricity Domain. The relative deviation from the experimental scores was 2.62%.

Domain Electricity =

(- (% EL35 (% (% (% (- EL124 EL125) (\* EL122 -7.29756)) (- EL82 (% EL31 EL124))) (% (- EL124 -7.29756) (- EL82 (% EL31 EL114))))) (- (- (% (% (% (- (- EL124 (% (\* EL124 EL51) (% (% (- EL124 EL125) -7.29756)) (- EL82 (% EL31 EL124))))) EL125) (\* EL122 (\* EL122 -7.29756))) (- EL82 (% EL31 - 7.29756))) (- EL82 (% EL31 EL125))) 8.69097) (- EL81 (- (+ (\* EL122 EL34) (\* EL122 EL41)) (\* EL82 (- (- EL35 EL33) (%

(\* EL124 EL51) (% (% (- EL124 EL125) -7.29756) (- EL82 (% EL31 EL112)))))))))))

## 3.2. Modelling Impact Factors Using LR

LR was used for the prediction models of the Impact Factors instead of GP. Linear regression (LR) was chosen for modelling the Impact Factors and total SRI due to its speed, simplicity, and transparency, making it well aligned with the goal of developing a practical evaluation tool. Based on initial tests with LR, the developed models proved reliable, with little deviation from experimental data (the regression statistic is described in the Results section). The process of modelling the Impact Factors was performed in Microsoft Excel, ensuring clarity and reproducibility.

The standard equation that describes the LR is as follows [57]:

$$a = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \ldots + \beta_n X_n + \varepsilon$$
(9)

where:

- a is a dependent variable (the Impact Factor);
- X<sub>1</sub>, X<sub>2</sub>, are the independent variables;
- β<sub>0</sub>, β<sub>1</sub>, ..., β<sub>n</sub> are the coefficients (in our case, Domain scores, modelled with GP, as described in Section 3.1.1 to Section 3.1.7);
- *ε* represents the error term or the intercept.
- In our case, the standard equation for our models is as follows:
- $a = \beta_{1(\text{Domain heating})} X_1 + \beta_{2(\text{Domain domestic hot water})} X_2 + \beta_{3(\text{Domain cooling})} X_3$
- +  $\beta_{4}$ (Domain ventilation)  $X_4$  +  $\beta_{5}$ (Domain lighting)  $X_5$
- +  $\beta_{6}$ (Domain dynamic building envelope)  $X_{6}$  +  $\beta_{7}$ (Domain electricity)  $X_{7}$
- +  $\beta_{8(\text{Domain EV charging})} \chi_8 + \beta_{9(\text{Domain monitoring and control})} \chi_9 + \epsilon$

Based on the general Equation (10), the following prediction models for different Impact Factors are as follows.

Equation (11) represents the model for the prediction of the energy efficiency Impact Factor, as follows:

 $a_{1(\text{Impact Factor Energy efficiency})} = \beta_1 \ 0.50807 + \beta_2 \ 0.04754 + \beta_3 \ 0.0753 - \beta_4 \ 0.0473 + \beta_5 \ 0.0652 + \beta_6 \ 0.0483 + \beta_7 \ 0.0061 + \beta_8 \ 0.0057 + \beta_9 \ 0.0452 + 22.2267$ (11)

Equation (12) represents the model for the prediction of the energy and storage impact factor, as follows:

$$a_{2(\text{Impact Factor Energy and storage})} = \beta_1 \ 0.0326 + \beta_2 \ 0.95 - \beta_3 \ 0.0041 - \beta_4 \ 0.025 + \beta_5 \ 0.0006 - \beta_6 0.032 - \beta_7 \ 0.0004 + \beta_8 \ 0.0061 + \beta_9 \ 0.1558 - 0.3229$$
(12)

Equation (13) represents the model for the prediction of the comfort Impact Factor, as follows:

 $a_{3(\text{Impact Factor Comfort})} = \beta_1 \ 0.1863 - \beta_2 \ 0.0062 + \beta_3 \ 0.1353 + \beta_4 \ 0.2641 + \beta_5 \ 0.1673 + \beta_6 \ 0.0856 - \beta_7 \ 0.0366 + \beta_8 \ 0.00123 + \beta_9 \ 0.0946 + 20.2056$ (13)

Equation (14) represents the model for the prediction of the convenience Impact Factor, as follows:

 $a_{4(\text{Impact Factor Convenience})} = \beta_1 \ 0.1650 + \beta_2 \ 0.0010 + \beta_3 \ 0.089 + \beta_4 \ 0.1379$  $+ \beta_5 \ 0.07863 + \beta_6 \ 0.1065 + \beta_7 \ 0.0049 + \beta_8 \ 0.0222 + \beta_9 \ 0.1882 + 13.3274$ (14)

Equation (15) represents the model for the prediction of the health, well-being, and accessibility Impact Factor, as follows:

 $a_{5(\text{Impact Factor Health, well-being, accessibility})} = \beta_1 \ 0.2561 - \beta_2 \ 0.0224 + \beta_3 \ 0.0976 + \beta_4 \ 0.1930 + \beta_5 \ 0.0957 + \beta_6 \ 0.10625 - \beta_7 \ 0.0163 - \beta_8 \ 0.0411 + \beta_9 \ 0.0976 + 9.0174$ (15)

Equation (16) represents the model for prediction of maintenance and fault prediction Impact Factor, as follows:

 $a_{6}(\text{Impact Factor Maintenance and fault prediction}) = \beta_1 \ 0.64340 + \beta_2 \ 0.0349 + \beta_3 \ 0.0276 + \beta_4 \ 0.0739 + \beta_5 - 0.0305 + \beta_6 \ 0.0648 + \beta_7 \ 0.0095 - \beta_8 \ 0.0103 + \beta_9 \ 0.3043 - 2.6152$ (16)

Equation (17) represents the model for the prediction of the information for the occupants Impact Factor, as follows:

 $a_{7(\text{Impact Factor Information for the occupants})} = \beta_1 \ 0.1858 + \beta_2 \ 0.0598 + \beta_3 \ 0.0926$  $- \beta_4 \ 0.0500 - \beta_5 \ 0.0106 + \beta_6 \ 0.08007 + \beta_7 \ 0.19854 - \beta_8 \ 0.0089 + \beta_9 \ 0.2087 + 10.9335$ (17)

#### 3.3. Modelling the Total SRI Score of the Building Using LR

All the Domains and all the Impact Factors impact the total SRI score of the building. Therefore, the standard equation for our model is as follows:

 $\gamma = a_1(_{\text{Impact Factor Energy efficiency}}) X_1 + a_2(_{\text{Impact Factor Energy and storage}}) X_2$ 

- +  $a_{3(\text{Impact Factor Comfort})} X_3 + a_{4(\text{Impact Factor Convenience})} X_4$
- + a<sub>5</sub>(Impact Factor Health, well-being, accessibility) X<sub>5</sub>
- +  $a_{6}$ (Impact Factor Maintenance and fault prediction)  $\chi_{6}$
- +  $a_7$ (Impact Factor Information for the occupants)  $X_7$  +  $\beta_1$ (domain heating)  $X_8$
- +  $\beta_{2(\text{domain domestic hot water})} X_9 + \beta_{3(\text{Domain cooling})} X_{10} + \beta_{4(\text{Domain ventilation})} X_{11}$
- +  $\beta$  5(Domain lighting) X<sub>12</sub> +  $\beta$  6(Domain dynamic building envelope) X<sub>13</sub>
- +  $\beta_{7(\text{Domain electricity})} X_{14} + \beta_{8(\text{Domain EV charging})} X_{15}$
- +  $\beta$  9(Domain monitoring and control)  $X_{16} + \epsilon$

where:

- $\gamma$  is a dependent variable (the total SRI score of the building);
- X<sub>1</sub>, X<sub>2</sub>, are the independent variables;
- a<sub>1</sub>, a<sub>2</sub> are the Impact Factors (using the calculation described in the previous Section 3.2 with LR);
- β<sub>0</sub>, β<sub>1</sub>, ..., β<sub>n</sub> are the domains (using the calculation described in Section 3.1.1 to 3.1.7 with GP);
- $\varepsilon$  represents the error term or the intercept.
- Equation (19) represents the model for the prediction of the total SRI score of the building, as follows:

(18)

- $\gamma = a_{1}(\text{Impact factor Energy efficiency}) 0.16614 + a_{2}(\text{Impact factor Energy and storage}) 0.32764$
- $+a_{3(\text{Impact factor Comfort})} 0.07882 + a_{4(\text{Impact factor Convenience})} 0.08521$
- + a<sub>5</sub>(Impact factor Health, well-being, accessibility) 0.08178
- + a<sub>6</sub>(Impact factor Maintenance and fault prediction) 0.16470
- +  $a_7$ (Impact factor Information for the occupants)  $0.08431 \beta_{1}$ (Domain heating) 0.00110 (19)
- +  $\beta_{2(\text{Domain domestic hot water})} 0.00229 + \beta_{3(\text{Domain cooling})} 0.00075$
- +  $\beta_{4(\text{Domain ventilation})} 0.003169 \beta_{5(\text{Domain lighting})} 0.00025$
- $-\beta_{6}$ (Domain dynamic building envelope)  $0.00254 \beta_{7}$ (Domain electricity) 0.001393
- $-\beta_{8(\text{Domain EV charging})} 0.000905 + \beta_{9(\text{Domain monitoring and control})} 0.00669 + 0.125126$

#### 4. Results

This Section presents the results in three sections. The results for the Domain modelling are given in Section 4.1. The results for the Impact Factors are given in Section 4.2, and the results for the total SRI score of the building are presented in Section 4.3.

#### 4.1. Results for Domain Modelling

In total, 50 generations of models were generated with GP for each Domain. The last generation (50th) was the most accurate, as the relative deviation from the experimental data was the lowest. Therefore, the 50th generation was selected as the winning one for each Domain. Figure 2 represents the relative deviations in percentages. The relative deviations ranged from 0.92% for the lowest Domain (the dynamic building envelope Domain) to 2.97% for the highest (the cooling Domain).

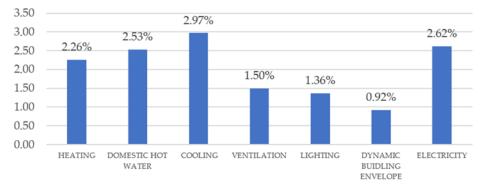


Figure 2. Relative deviation from experimental data for all the Domains [%].

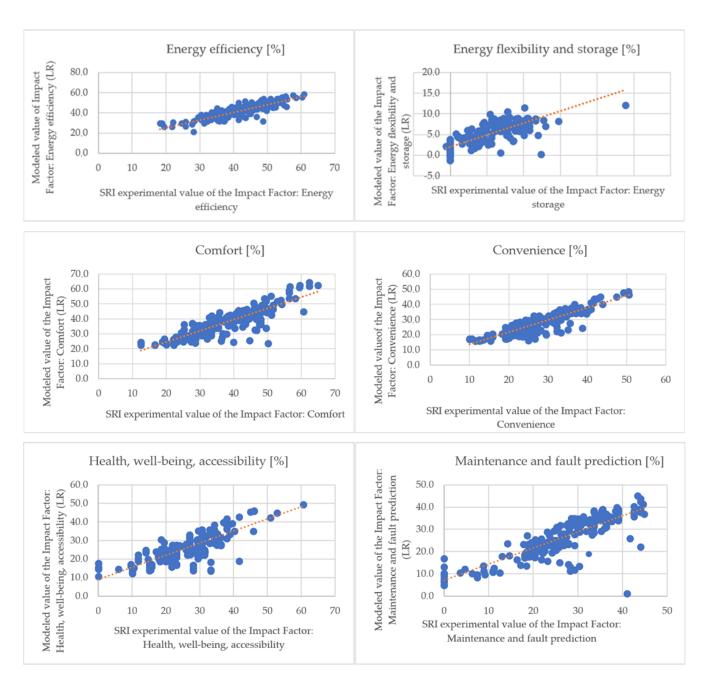
#### 4.2. Results for Impact Factor Modelling

Using the developed models in Section 3.2, the Impact Factors for all 223 case studies were calculated and are represented graphically in the multi-panel Figure 3. The regression statistic for the Impact Factors and the total SRI score is stated in Table 4.

#### Table 4. Summary Table for key linear regression metrics.

Metric	Multiple R	R Square	Adjusted R Square	Standard Error	Observations
Energy efficiency	0.874770679472713	0.765223741665151	0.755303618073538	4.01329710741988	223
Energy flexibility and storage	0.760022415639552	0.577634072274581	0.559787624624211	2.39055830607999	223
Comfort	0.867590952493899	0.752714060849271	0.742265359195015	5.33730508930646	223
Convenience	0.897960874717157	0.806333732522801	0.798150650798412	3.55794238372057	223

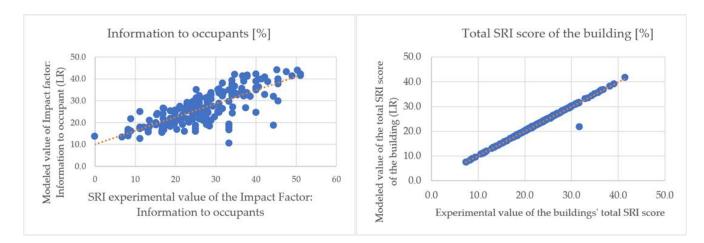
Metric	Multiple R	R Square	Adjusted R Square	Standard Error	Observations
Health, well-being, and accessibility	0.809056679035984	0.654572709892735	0.639977190592428	5.571706763798932	223
Maintenance and fault prediction	0.850221379215518	0.722876393675137	0.711166945520566	5.94043101238427	223
Information to the occupants	0.786961162359672	0.619307871062485	0.603222288149632	6.09398014758909	223
Total SRI score of the building	0.994508935908173	0.989048023601207	0.988213587304157	0.685375906363476	223



#### Table 4. Cont.

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Figure 3. Cont.



**Figure 3.** Multi-panel Figure presenting the linear regression results for the Impact Factors and total SRI score [%].

#### 4.3. Validation of the GP + LR Models

A supplementary validation experiment was carried out utilising a fresh, independent dataset consisting of 20 new buildings. The aim of this experiment was to evaluate the generalisability and applicability of the models in real-world conditions. The distribution of the case studies by purpose of use was comparable to that used in the model training phase (presented in Figure 1), ensuring consistency in the sample characteristics. None of these buildings were part of the training or model development process and were assessed using both the official SRI Excel-based calculation tool (as the reference method) and the GP + LR models (as the predictive method). For each building, predictions were generated for Domain-level scores, Impact Factors, and the total SRI score.

The performance of the models was tested with the following five most commonly used statistical metrics [58,59]:

- 1. Mean absolute error (MAE);
- 2. Root mean squared error (RMSE);
- 3. Mean bias error (MBE);
- 4. Coefficient of determination (R<sup>2</sup>);
- 5. Pearson's correlation coefficient (r).

The results of the experiment are presented in Table 5, and they demonstrate the model's ability to generalise across unseen data, building typologies, and system configurations.

Table 5. Statistical analysis of the modelling performance for an external validation set of 20 buildings.

	1. Mean Absolute Error (MAE)	2. Root Mean Squared Error (RMSE)	3. Mean Bias Error (MBE)	4. R <sup>2</sup> (Coefficient of Determination)	5. Pearson Correlation (r)
DOMAINS					
1. Heating	1.30	1.41	0.09	0.98	0.99
2. Domestic hot water	3.00	3.24	-2.86	0.97	0.99
3. Cooling	0.97	1.23	0.45	0.98	0.99
4. Ventilation	1.24	1.69	-0.99	0.83	0.91
5. Lighting	0.20	0.89	-0.20	1.00	1.00
6. Dynamic building envelope	0.40	0.71	-0.11	1.00	1.00
7. Electricity	1.27	2.39	-0.18	0.99	1.00
IMPACT FACTORS					

	1. Mean Absolute Error (MAE)	2. Root Mean Squared Error (RMSE)	3. Mean Bias Error (MBE)	4. R <sup>2</sup> (Coefficient of Determination)	5. Pearson Correlation (r)
1. Energy efficiency	3.60	4.52	0.87	0.59	0.77
2. Energy flexibility and storage	1,94	2.25	0.16	0.84	0.92
3. Comfort	3.56	4.89	-2.28	0.42	0.65
4. Convenience	6.98	8.80	-6.06	0.54	0.73
5. Health, well-being, and accessibility	3.69	4.68	0.03	0.42	0.65
6. Maintenance and fault prediction	8.98	10.69	-8.16	0.42	0.65
7. Information to occupants	6.86	8.21	-0.41	0.39	0.63
TOTAL SRI SCORE	4.45	5.5	-3.0	0.48	0.69

Table 5. Cont.

The graphical results of this validation experiment illustrating the modelled versus experimental values for each Domain, Impact Factor, and the total SRI score are presented in the multi-panel Figures 4 and 5 on the following two pages. The figures provide a visual interpretation of the model's performance across the 20 tested external buildings. A detailed discussion is provided in Section 5.3. Validation of the Developed Models.

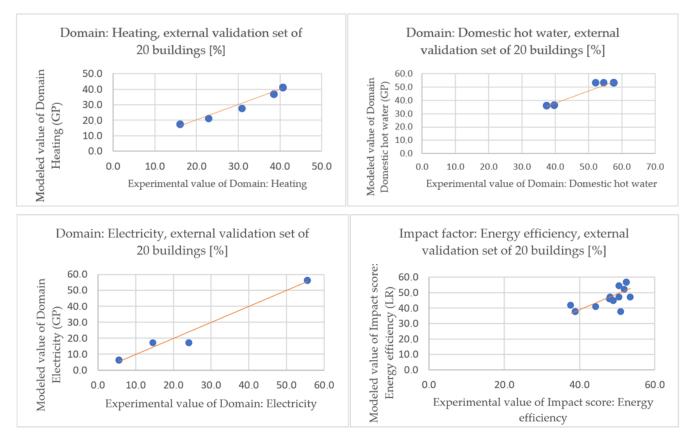
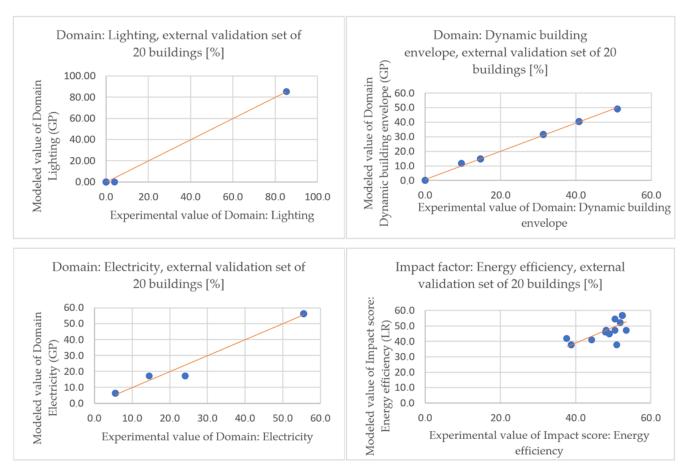


Figure 4. Cont.



**Figure 4.** Multi-panel Figure presenting the Domain modelling results (GP) and Impact Factor (LR) modelling results for the external validation set of 20 buildings.

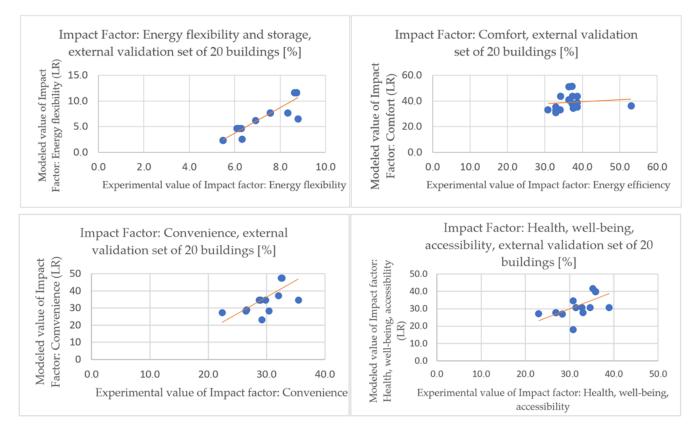
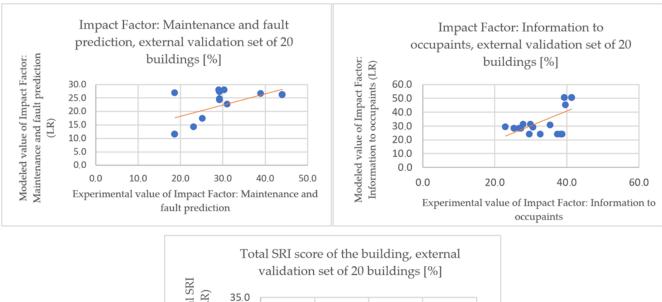
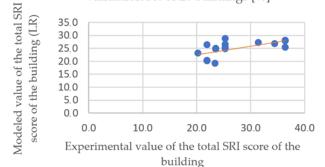


Figure 5. Cont.





**Figure 5.** Multi-panel Figure presenting the Impact Factor (LR) and total SRI score of the building modelling results for the external validation set of 20 buildings.

### 5. Discussion

The presented paper describes the creation of alternative, simplified, and sufficiently precise SRI calculation models based on GP and LR. The methods used in the individual steps are summarised in Table 6.

	1. Domains	2. Impact Factors	3. Total SRI Score of the Building
Calculation method	GP	LR	LR
The necessary inputs for models	Smart services of the building (0,1,2, as described in Section 3.1)	Results of the Domains	Results of the Domains and Impact Factors

Table 6. Explanation of prediction models and used methods.

GP was suitable for Domain modelling, as the inputs were discrete numbers that describe the state of whether a smart service exists in the examined building (0—the observed smart service is absent, 1—the observed service is present, 2—the service cannot be evaluated because the building does not have such a system). By obtaining Domain scores, we were able to use LR to predict the building's Impact Factors and overall SRI scores.

#### 5.1. Modelling Domains

As is evident from Figure 2, the relative deviation from the experimental Domain scores is relatively low, ranging between 0.92% (the dynamic building envelope Domain)

to 2.97% (the cooling Domain), indicating high model accuracy. The small difference shows that the developed models captured the main patterns in the data well and can reproduce the results reliably. The strong agreement between the modelled Domain scores and experimental Domain scores confirms the robustness of the proposed approach, demonstrating its suitability for predictive applications. Nevertheless, the relative deviation from the experimental data for EV charging and monitoring and control Domains is not stated in Figure 2, since the models for these two Domains could not be developed. The details are explained in the paragraphs addressing the limitations and challenges of this study.

#### 5.2. Modelling Impact Factors

The linear regression statistics for modelling the Impact Factors presented in Table 4 demonstrate the generally strong model performance across most Impact Factors, with  $R^2$  values ranging from 0.58 to 0.99. The highest explanatory power was observed in the total SRI score ( $R^2 = 0.99$ ), indicating excellent alignment between predicted and reference values at the aggregated level. Most Impact Factors, like energy efficiency ( $R^2 = 0.76$ ), convenience ( $R^2 = 0.81$ ), and comfort ( $R^2 = 0.75$ ) demonstrated high levels of predictive accuracy.

Impact Factors with lower R<sup>2</sup> values were observed in health, well-being, and accessibility ( $R^2 = 0.65$ ), maintenance and fault prediction ( $R^2 = 0.72$ ), and information to the occupants ( $R^2 = 0.62$ ). This reduced predictive performance can be attributed to the qualitative and often subjective nature of these categories. Unlike Domains that evaluate clearly defined technical features (e.g., heating or lighting systems), these Impact Factors depend more heavily on user experience, communication features, accessibility standards, and the presence of advanced automation systems, such as BMS or user interaction platforms. In many cases, such features are not implemented or documented across buildings consistently, particularly in the South-East European region, where system standardisation and data availability may be limited. As a result, the training data lack the diversity and clarity needed to build stronger predictive models for these factors. The variability in interpretation or recording of these services during the SRI experimental evaluations may have lowered the power of the model's ability to capture consistent patterns. These limitations suggest that improved documentation and richer datasets with standardised descriptions of non-technical smart services are essential to enhance model reliability in these more subjective categories.

The lowest  $R^2$  value (0.577) was found in the energy flexibility and storage Impact Factor. This Domain primarily evaluates smart hardware and system integrations that support thermal and electrical energy storage, including advanced technologies, such as fourth-generation district heating networks. These solutions are promoted by the SRI methodology actively to support future-ready and low-carbon energy infrastructure. However, these technologies are largely absent in the case study buildings from the South-East European region, which provided the basis for the training dataset. As a result, the model had limited exposure to relevant examples, reducing its ability to explain the variance in this Domain accurately. The relatively low  $R^2$  value should, therefore, be interpreted not as a sign of model weakness or overfitting, but rather as a reflection of the sparse data related to this advanced system type in the regional context.

The fluctuations in Impact Factor scores can likewise be attributed to the reality that each Impact Factor is calculated from Domain scores, thereby adding extra intricacy and the likelihood of discrepancies.

#### 5.3. Validation of the Developed Models

As mentioned in Section 4.3, to assess the generalisability of the developed models, the models were tested with a new dataset of 20 case study buildings previously unseen in the training. As evident from Table 5, the statistical performance of the model was strong on the Domain level. The values for  $R^2$  were above 0.97 for almost all Domains, except for ventilation ( $R^2 = 0.83$ ). Also, the Pearson correlation coefficients were above 0.90 for nearly all the Domains. These results indicate that the GP and LR models are capable of estimating Domain scores accurately, even when applied to buildings outside of the original training set. Particularly high accuracy was observed in several Domains, such as lighting and the dynamic building envelope, where the correlation reached 1.00, and the error values (MAE and RMSE) were minimal.

At the Impact Factor level, the performance varied more significantly. The  $R^2$  values for energy efficiency ( $R^2 = 0.59$ ) and energy flexibility and storage ( $R^2 = 0.84$ ) indicate that the predictive accuracy of these Impact Factors is notably influenced by the specific configurations of heating, cooling, and domestic hot water systems in the evaluated buildings. The variability in system setups and the presence or absence of advanced energy management features likely contributed to the observed differences in  $R^2$  values, suggesting that the model's performance in these categories is closely tied to the particular combinations of these systems.

The lower  $R^2$  and Pearson correlation (r) values observed for several Impact Factors, including comfort ( $R^2 = 0.42$ , r = 0.65), convenience ( $R^2 = 0.54$ , r = 0.73), health, well-being, and accessibility ( $R^2 = 0.42$ , r = 0.65), maintenance and fault prediction ( $R^2 = 0.42$ , r = 0.65), and information to occupants ( $R^2 = 0.39$ , r = 0.63) are closely tied to the presence of advanced building management systems (BMSs) and automated control functions. The external dataset of 20 buildings included a limited number of buildings equipped with highly advanced BMS functions, such as predictive maintenance and advanced energy monitoring. This likely contributed to the weaker statistical performance for these Impact Factors.

The total SRI score prediction across all buildings yielded an  $R^2$  of 0.48 and a Pearson correlation of 0.69, indicating moderate alignment between the predicted and reference values. The mean absolute error of 4.45 and mean bias error of -3.0 suggest that while the model may underpredict slightly on average, the estimates are reasonably close to the official results generated using the SRI Excel tool. These findings confirm that the model has practical applicability in real-world conditions, particularly for Domain-level estimations, while also highlighting areas where further training data and refinement may improve robustness at the Impact Factor and total score level.

#### 5.4. Limitations and Challenges

However, two out of the nine investigated Domains could not be modelled effectively using the method of GP. These two Domains are EV charging and monitoring and control. The primary reason for this limitation was the inconsistent experimental data used to develop the model. Despite utilising a large database of 223 case study buildings, the data exhibited a high degree of randomness, preventing the identification of meaningful patterns. The variability within these datasets was significantly higher than in the other Domains, leading to unstable model predictions. Therefore, no reliable correlation could be established between the input parameters and the expected outcomes. The unpredictability in the data suggests the presence of uncontrolled influences or measurement inconsistencies. In contrast, the remaining seven Domains exhibited structured and consistent data, allowing for high-quality modelling. This limitation could likely be resolved with a larger and more comprehensive dataset. The absence of the electric vehicle charging and monitoring and control Domains did not impact the modeling of other Domains, as each Domain was treated independently in the model. Inputs for these two Domains were included in the model structure of LR for the Impact Factor calculations (LR model No. 18), suggesting that with a more consistent dataset, they could potentially be modelled effectively in future iterations.

#### 5.5. Scalability and Transferability of the Developed Models Across Europe

A dataset of 223 buildings from the South-East European region was leveraged to generate the GP models for Domains. In the original SRI methodology, there are several regions that the evaluator can choose from ("North Europe", "West Europe", "South Europe", "South-West Europe", "North-East Europe", and "South-East Europe"). A similar case study building dataset would have to be prepared if the proposed methods were to be used in another region. Considering this, it must be ensured that the cases are selected in a balanced manner, as this affects the accuracy of the final model. Based on our study, it is clear that the current model reflects the building system configurations common to that region, including typical HVAC control setups. Regional differences in technical systems can influence the SRI outcomes. However, once the model structure and methodology have been defined (as in our case) it becomes relatively easy to train new models for other regions using localised datasets.

The limited applicability of SRI models, for example, in colder regions, was highlighted in one of the first studies [20], as the current SRI framework does not fully reflect some cold-climate-specific technologies, such as advanced district heating systems. The study also pointed out challenges related to the triage process and the comparability of SRI scores across regions. Also, the study [37] noted that regional differences in technical systems can influence the SRI outcomes. These insights further reinforce the need to adapt SRI tools (and models based on them) to local building practices when aiming for EU-wide applicability.

#### 5.6. Reduction in the Needed Inputs for the Calculation

It must be highlighted that, by utilising GP modelling, we were able to reduce the total number of inputs (or organisms in GP models) while still maintaining the adequate accuracy of the models needed to achieve comparable results. The inputs/genes that the GP used in the models are underlined in Appendix A.

Table 7 compares "smart-ready" service levels in the original methodology with the necessary inputs in the GP models for Domain calculation with the % of reduction. The results are presented also graphically in Figure 6.

Noticeably, some inputs appear much more frequently in the Domain calculation models (generated with GP) than others. These inputs have a higher dominance than the rest, which is the by-product of natural selection on which the GP is based. The inputs that appear very often (more than 15 times) in the models for modelling Domains are presented in Table 8. From a practical perspective, these have a high impact on reaching a higher SRI score.

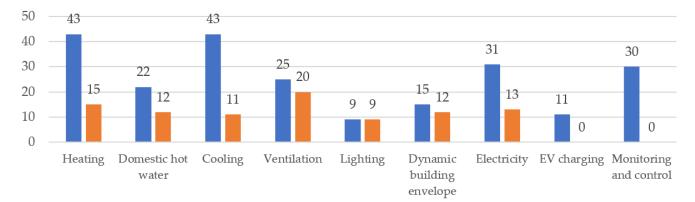
**Table 7.** Comparison between the original methodology and the proposed GP methodology while maintaining adequate accuracy.

	Number of "Smart-Ready" Service Levels in the Original Methodology	Number of Inputs (Organisms) in the Proposed GP Models	% Reduction of Inputs
"Heating"	43	15	-65.12%
"Domestic hot water"	22	12	-45.45%

	Number of "Smart-Ready" Service Levels in the Original Methodology	Number of Inputs (Organisms) in the Proposed GP Models	% Reduction of Inputs
"Cooling"	43	11	-74.42%
"Ventilation"	25	20	-20.00%
"Lighting"	9	9	0%
"Dynamic building envelope"	14	12	-20.00%
"Electricity"	31	13	-58.06%
"EV charging"	11	Not available	Not available
"Monitoring and control"	30	Not available	Not available
TOTAL:	228		

#### Table 7. Cont.

# Reduction of inputs; comparison between the original SRI methodology and the domain calculation (GP)



# **Figure 6.** Reduction in inputs: comparison between the original SRI methodology and the proposed GP model.

 Table 8. Inputs with the most frequent appearance in the Domain score prediction models.

	Most Prominent Inputs (Appear in Models More than 15 Times)
Heating	"H31 None (No option for Central or remote reporting of current performance KPIs (e.g., temperatures, sub-metering energy usage)" "H2D2 Control according to a fixed priority list, e.g., based on rated energy efficiency"
Domestic hot water	"DHW1A4 Automatic charging control based on local availability of renewables or Information from the electricity grid (DR, DSM)"
Cooling	"C1F1 No interlock (between cooling and heating)" "C43 Self-learning optimal control of the cooling system"
Ventilation	"V2D2 "Constant setpoint: A control loop enables to control the supply air temperature, the setpoint is constant and can only be modified by a manual"
Lighting	"L23 Automatic switching"
Dynamic building envelope	"DE22 Open/closed detection to shut down heating or cooling systems"
Electricity	"EL124 Real-time feedback or benchmarking on appliance level"

## 6. Conclusions

The EU must accelerate the execution of the initiatives outlined in the Green Deal. As a result, substantial endeavours are necessary for the decarbonisation of the building inventory. An innovative tool, the Smart Readiness Indicator (SRI), aims to facilitate the implementation of intelligent solutions across various building types.

Our research shows that alternative, simplified, and sufficiently precise SRI calculation models based on GP and LR are possible. The developed models proved to be sufficiently accurate. The relative deviation from the experimental data for Domain scores (modelled with GP) ranged from 0.9% to 2.9%. The coefficient of determination or R<sup>2</sup> was 0.75 for most LR models, except for the Impact Factor of "Energy flexibility and storage" (where it was 0.57). The lower R<sup>2</sup> value for this Impact Factor is due to the absence of advanced systems, like fourth-generation district heating, in the evaluated case study buildings. These technologies are not common in the South-East European region, resulting in limited training data in our dataset. For the total SRI core the R<sup>2</sup> it was 0.98.

To test how well the models work on new data, we carried out an external validation using 20 previously unseen case study buildings. In this way, we checked the performance of the GP + LR approach on buildings that were not part of the model development. On the Domain-level, the predictions performed well, with R<sup>2</sup> values between 0.83 and 1.00. For the Impact Factors, the performance was more variable, with R<sup>2</sup> values between 0.39 and 0.84. The total SRI score prediction reached R<sup>2</sup> = 0.48, with MAE = 4.45 and Pearson's r = 0.69, which shows that the model can also work in real world conditions.

Our findings suggest that the existing model represents the building system configurations typically present in that area accurately, including conventional HVAC and control arrangements. Variations in technical systems across regions impact SRI results. After establishing the model structure and methodology (as demonstrated in our instance), it becomes fairly straightforward to develop new models for different regions utilising localised datasets. Larger datasets based on consistently audited buildings would improve the reliability and accuracy of SRI predictions by providing the models with more diverse and representative examples across different systems and building types.

To improve consistency and reduce variability, especially in Domains with less structured data, we plan to establish data quality assurance procedures and policies that would guide building evaluations. Cooperation is planned with energy agencies across Europe. With this, we hope to obtain quality data that will be used for further model training. We also aim to integrate the model with digital building twin platforms [36,37], which could support standardised data collection and enable easier data sharing. In Domains where uncertainty remains high, manual review processes (like audits) could be included to avoid misleading outputs. The GP + LR model could be especially useful for portfoliolevel screening, early-stage assessments, and decision tools, such as those used by public authorities or real-estate managers.

The GP approach with the additional positive feature has proven that comparable results can be achieved with a significantly smaller number of input variables than in the original SRI methodology. In six out of nine Domains, the reduction was between 20% and 74.42%, while the number of inputs in one Domain (that of lighting) stayed the same.

In our case, two out of the nine Domains (electric vehicle charging and monitoring and control) could not be modelled because of inconsistencies in the experimental data. The data exhibited a high degree of randomness, preventing modelling. A different dataset may behave differently. Furthermore, for the initial calculation in a selected EU region, a reference dataset of experimental case study examinations is needed (see Section 2, i.e., the description of the experimental data).

The inputs into the GP, highlighted in Table 8, dominate, and are at the core of the Domains' score calculation. Therefore, this GP model could also be used as a simulation tool for architects and planners of electrical and mechanical systems, including the smart-ready functions that impact the SRI scores the most.

Our future research will focus on the automation of the evaluation process.

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## Appendix A

Table A1. Conversion table of variables for the heating Domain.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
HA1	No automatic control
H1A2	Central automatic control (e.g., central thermostat)
H1A3	Individual room control (e.g., thermostatic valves, or electronic controller)
<u>H1A4</u>	Individual room control with communication between controllers and BACS
<u>H1A5</u>	Individual room control with communication and occupancy detection
H1B1	No automatic control
H1B2	Central automatic control
H1B3	Advanced central automatic control
H1B4	Advanced central automatic control with intermittent operation and/or room
	temperature feedback control
H1C1	No automatic control
<u>H1C2</u>	Outside temperature compensated control
H1C3	Demand-based control
H1D1	No automatic control
H1D2	On/off control
H1D3	Multi-stage control
H1D4	Variable speed pump control (pump unit (internal) estimations)
H1D5	Variable speed pump control (external demand signal)
<u>H1F1</u>	Continuous storage operation
H1F2	Time-scheduled storage operation
H1F3	Load prediction-based storage operation
H1F4	Heat storage capable of flexible control through grid signals (e.g., DSM)
H2A1	Constant temperature control

Table A1. Cont.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
H2A2	Variable temperature control depending on outdoor temperature
H2A3	Variable temperature control depending on the load (e.g., depending on supply water temperature set point)
<u>H2B1</u>	On/off control of heat generator
<u>H2B2</u>	Multi-stage control of heat generator capacity depending on the load or demand (e.g., on/off for several compressors)
<u>H2B3</u>	Variable control of heat generator capacity depending on the load or demand
H2B4	(e.g., hot gas bypass, inverter frequency control) Variable control of heat generator capacity depending on the load AND external signals from grid
<u>H2D1</u>	Priorities only based on running time
H2D2	Control according to fixed priority list: e.g., based on rated energy efficiency
H2D3	Control according to dynamic priority list (based on current energy efficiency, carbon emissions and capacity of generators, e.g., solar, geothermal heat, cogeneration plant, fossil fuels)
H2D4	Control according to dynamic priority list (based on current AND predicted load, energy efficiency, carbon emissions and capacity of generators)
<u>H2D5</u>	Control according to dynamic priority list (based on current AND predicted load, energy efficiency, carbon emissions, capacity of generators AND external signals from grid)
H31	None
H32	Central or remote reporting of current performance KPIs (e.g., temperatures, submetering energy usage)
H33	Central or remote reporting of current performance KPIs and historical data
H34	Central or remote reporting of performance evaluation including forecasting and/or benchmarking
<u>H35</u>	Central or remote reporting of performance evaluation including forecasting and/or benchmarking; also including predictive management and fault detection
H41	No automatic control
H41	Scheduled operation of heating system
H43	Self-learning optimal control of heating system
H44	Heating system capable of flexible control through grid signals (e.g., DSM)
H45	Optimised control of heating system based on local predictions and grid signals (e.g., through model predictive control)

Table A2. Conversion table of variables for the domestic hot water Domain.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
DHW1A1	Automatic control on/off
DHW1A2	Automatic control on/off and scheduled charging enable
DHW1A3	Automatic control on/off and scheduled charging enable and
	multi-sensor storage management
DHW1A4	Automatic charging control based on the local availability of renewables
	or information from electricity grid (DR, DSM)
DHW1B1	Automatic control on/off
DHW1B2	Automatic control on/off and scheduled charging enabled

#### Table A2. Cont.

Labal	Input Data or Organisms for the Genetic Model/Service for
Label	Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
DHW1B3	Automatic on/off control, scheduled charging enabled, and demand-based
	supply temperature control or multi-sensor storage management
DHW1B4	DHW production system capable of automatic charging control based on
	external signals (e.g., from the district heating grid)
<u>DHW1D1</u>	Manually selected control of solar energy or heat generation
DHW1D2	Automatic control of solar storage charge (Prio. 1) and supplementary
DIMUDA	storage charge
DHW1D3	Automatic control of solar storage charge (Prio. 1) and supplementary
	storage charge and demand-oriented supply or multi-sensor
	storage management
DHW1D4	Automatic control of solar storage charge (Prio. 1) and supplementary
	storage charge, demand-oriented supply, and return temperature control
	and multi-sensor storage management
DHW2B1	Priorities only based on running time
DHW2B2	Control according to fixed priority list: e.g., based on rated energy efficiency
DHW2B3	Control according to dynamic priority list (based on current energy
	efficiency, carbon emissions, and capacity of generators, e.g., solar,
	geothermal heat, cogeneration plant, and fossil fuels)
DHW2B4	Control according to dynamic priority list (based on current AND
	predicted load, energy efficiency, carbon emissions, and capacity of
DHW2B5	generators) Control according to dynamic priority list (based on current AND
<u>D1102D3</u>	predicted load, energy efficiency, carbon emissions, capacity of generators,
	AND external signals from grid)
DHW31	None Indication of actual values (a.g. temperatures, submetering anomal usage)
DHW32	Indication of actual values (e.g., temperatures, submetering energy usage) Actual values and historical data
DHW33	
DHW34	Performance evaluation, including forecasting and/or benchmarking
DHW35	Performance evaluation, including forecasting and/or benchmarking; also including predictive management and fault detection
	menuning predictive management and fault detection

Table A3. Conversion Table of variables for the cooling Domain.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
C1A1	No automatic control
C1A2	Central automatic control
C1A3	Individual room control
<u>C1A4</u>	Individual room control with communication between controllers and to BACS
C1A5	Individual room control with communication and occupancy detection
C1B1	No automatic control
C1B2	Central automatic control
C1B3	Advanced central automatic control
C1B4	Advanced central automatic control with intermittent operation and/or room
	temperature feedback control
C1C1	Constant temperature control
C1C2	Outside temperature compensated control
C1C3	Demand based control
<u>C1D1</u>	No automatic control
C1D2	On off control

C1D2 On off control

Table A3. Cont.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12
C1D3	Multi-stage control
C1D4	Variable speed pump control (pump unit (internal) estimations)
<u>C1D5</u>	Variable speed pump control (external demand signal)
C1F1	No interlock
C1F2	Partial interlock (minimising risk of simultaneous heating and cooling e.g.,
	by sliding setpoints)
C1F3	Total interlock (control system ensures no simultaneous heating and cooling
	can take place)
C1G1	Continuous storage operation
C1G2	Time-scheduled storage operation
C1G3	Load prediction-based storage operation
C1G4	Cold storage capable of flexible control through grid signals (e.g., DSM)
<u>C2A1</u>	On/off control of cooling production
C2A2	Multi-stage control of cooling production capacity depending on the load or
$C2 \lambda 2$	demand (e.g., on/off for several compressors)
C2A3	Variable control of cooling production capacity depending on the load or
C $1$ $4$	demand (e.g., hot gas bypass, inverter frequency control)
C2A4	Variable control of cooling production capacity depending on the load AND external signals from grid
C2B1	Priorities only based on running times
C2B2	Fixed sequencing based on loads only, e.g., depending on the generator's characteristics, such as absorption chiller vs. centrifugal chiller
C2B3	Dynamic priorities based on generator efficiency and characteristics (e.g.,
C2D5	availability of free cooling)
C2B4	Load prediction-based sequencing: the sequence is based on e.g., COP and th
	available power of a device and
	the predicted required power
C2B5	Sequencing based on a dynamic priority list, including external signals
	from grid
<u>C31</u>	None
C32	Central or remote reporting of current performance KPIs (e.g., temperatures, submetering energy usage)
C33	Central or remote reporting of current performance KPIs and historical data
<u>C34</u>	Central or remote reporting of performance evaluation, including forecasting
<u>C04</u>	and/or benchmarking
C35	Central or remote reporting of performance evaluation, including forecasting
	and/or benchmarking; also including predictive management and fault
	detection
C41	No automatic control
C42	Scheduled operation of cooling system
<u>C43</u>	Self-learning optimal control of cooling system
<u>C44</u>	Cooling system capable of flexible control through grid signals (e.g., DSM)
C44 C45	Optimised control of cooling system based on local predictions and grid signal
	(e.g., through model predictive control)

 Table A4. Conversion Table of variables for variables for the ventilation Domain.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
V1A1	No ventilation system or manual control
<u>V1A2</u>	<u>Clock control</u>
V1A3	Occupancy detection control
V1A4	Central demand control based on air quality sensors (CO2, VOC, humidity, etc.)

#### Table A4. Cont.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
V1A5	Local demand control based on air quality sensors (CO2, VOC, etc.) with local
VIAJ	flow to/from the zone regulated by dampers
<u>V1C1</u>	No automatic control: continuously supplies air flow for a maximum load of
VICI	all rooms
<u>V1C2</u>	On/off time control: continuously supplies air flow for a maximum load of
1102	all rooms during nominal occupancy time
<u>V1C3</u>	Multi-stage control: to reduce the auxiliary energy demand of the fan
$\frac{V1C3}{V1C4}$	Automatic flow or pressure control without pressure reset: load-dependent
<u></u>	supply of air flow to meet the demands of all connected rooms
<u>V1C5</u>	Automatic flow or pressure control with pressure reset: load-dependent supply
1100	of air flow for the demand of all connected rooms (for variable air volume
	systems with VFD)
V2C1	Without overheating control
V2C2	Modulate or bypass heat recovery based on sensors in air exhaust
V2C3	Modulate or bypass heat recovery based on multiple room temperature sensors
	or predictive control
V2D1	No automatic control
V2D2	"Constant setpoint: A control loop enables to control the supply air temperature,
	the setpoint is constant and can only be modified by a manual action"
<u>V2D3</u>	Variable set point with outdoor temperature compensation
V2D4	Variable set point with load-dependent compensation. A control loop enables
	the system to control the supply air temperature. The setpoint is defined as a
	function of the loads in the room
$\frac{V31}{V32}$	No automatic control
V32	Night cooling
<u>V33</u>	"Free cooling: air flows modulated during all periods of time to minimize the
1/24	amount of mechanical cooling"
<u>V34</u>	"H,x- directed control: The amount of outside air and recirculation air are modulated during all periods of time to minimize the amount of mechanical
	cooling. Calculation is performed on the basis of temperatures and
	humidity (enthalpy)."
V61	None
$\frac{V01}{V62}$	Air quality sensors (e.g., CO2) and real-time autonomous monitoring
$\frac{V62}{V63}$	Real time monitoring and historical information of IAQ available to occupants
<u>V64</u>	Real time monitoring and historical information of IAQ available to occupants
	+ warnings about maintenance needs or occupant actions (e.g., window opening)

Table A5. Conversion Table of variables for the lighting Domain.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
L1A1	Manual on/off switch
<u>L1A2</u>	Manual on/off switch + additional sweeping extinction signal
L1A3	Automatic detection (auto on/dimmed or auto off)
<u>L1A4</u>	Automatic detection (manual on/dimmed or auto off)
<u>L21</u>	Manual (central)
<u>L22</u>	Manual (per room/zone)
<u>L23</u>	Automatic switching

Table	e A5.	Cont.
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Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]	
<u>L24</u>	Automatic dimming	
<u>L25</u>	"Automatic dimming including scene-based light control (during time intervals,	
	dynamic and adapted lighting scenes are set, for example, in terms of	
	illuminance level, different correlated colour temperature (CCT) and the	
	possibility to change the light distribution within the space according to	
	e.g. design, human needs, visual tasks)"	

Table A6. Conversion Table of variables for the dynamic building envelope Domain.

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
<u>DE11</u>	No sun shading or only manual operation
<u>DE12</u>	Motorised operation with manual control
DE13	Motorised operation with automatic control based on sensor data
<u>DE14</u>	Combined light/blind/HVAC control
<u>DE15</u>	Predictive blind control (e.g., based on weather forecasts)
DE21	Manual operation or only fixed windows
DE22	Open/closed detection to shut down heating or cooling systems
<u>DE23</u>	Level 1 + automated mechanical window opening based on room sensor data
<u>DE24</u>	Level 2 + centralised coordination of operable windows, e.g., to control free
	natural night cooling
<u>DE41</u>	No reporting
<u>DE42</u>	Position of each product and fault detection
<u>DE43</u>	Position of each product, fault detection, and predictive maintenance
<u>DE44</u>	Position of each product, fault detection, predictive maintenance, and real-time
	sensor data (wind, lux, temperature, etc.)
DE45	Position of each product, fault detection, predictive maintenance, and
	real-time and historical sensor data (wind, lux, temperature, etc.)

 Table A7. Conversion Table of variables for the electricity Domain.

Label	Input data or organisms for the genetic model/service for smart-ready services and their functionality levels from the original SRI methodology [12]
EL21	None
EL22	Current generation data available
EL23	Actual values and historical data
EL24	Performance evaluation including forecasting and/or benchmarking
EL25	Performance evaluation including forecasting and/or benchmarking; also
	including predictive management and fault detection
<u>EL31</u>	None
EL32	On-site storage of electricity (e.g., electric battery)
EL33	On-site storage of energy (e.g., electric battery or thermal storage) with a
	controller based on grid signals
<u>EL34</u>	On-site storage of energy (e.g., electric battery or thermal storage) with a
	controller optimising the use of locally generated electricity
<u>EL35</u>	On-site storage of energy (e.g., electric battery or thermal storage) with a
	controller optimising the use of locally generated electricity and the possibility
	to feed back into the grid

Table A7. Cont.

Label	Input data or organisms for the genetic model/service for smart-ready services and their functionality levels from the original SRI methodology [12]
EL41	None
EL42	Scheduling electricity consumption (plug loads, white goods, etc.)
EL43	Automated management of local electricity consumption based on current renewable energy availability
EL44	Automated management of local electricity consumption based on current and predicted energy needs and renewable energy availability
EL51	CHP control based on scheduled runtime management and/or current heat
	energy demand
EL52	CHP runtime control influenced by the fluctuating availability of RES; overproduction will be fed into the grid
EL53	CHP runtime control influenced by the fluctuating availability of RES and grid signals; dynamic charging and runtime control to optimise the self-consumption of renewables
EL81	None
EL82	Automated management of (building-level) electricity consumption based
	on grid signals
EL83	Automated management of (building-level) electricity consumption and
2200	electricity supply to neighbouring buildings (microgrid) or grid
EL84	Automated management of (building-level) electricity consumption and supply, with potential to continue limited off-grid operation (island mode)
EL111	None
EL112	Current state of charge (SOC) data available
EL113	Actual values and historical data
<u>EL114</u>	Performance evaluation, including forecasting and/or benchmarking
EL115	Performance evaluation, including forecasting and/or benchmarking; also
	including predictive management and fault detection
EL121	None
<u>EL122</u>	Reporting on current electricity consumption at the building level
EL123	Real-time feedback or benchmarking at the building level
<u>EL124</u>	Real-time feedback or benchmarking at the appliance level
EL125	Real-time feedback or benchmarking at the appliance level with automated
	personalised recommendations

 Table A8. Conversion Table of variables for the EV charging Domain.

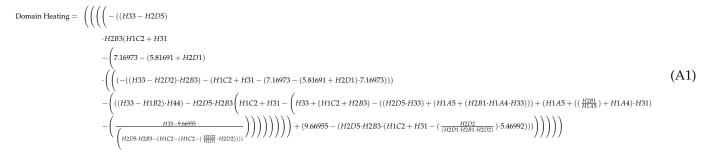
Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and their Functionality Levels from the Original SRI Methodology [12]
EV151	Not present
EV152	Ducting (or simple power plug) available
EV153	0–9% of parking spaces has recharging points
EV154	10–50% or parking spaces has recharging point
EV155	>50% of parking spaces has recharging point
EV161	Not present (uncontrolled charging)
EV162	1-way controlled charging (e.g., including desired departure time and grid
	signals for optimisation)
EV163	2-way controlled charging (e.g., including desired departure time and grid
	signals for optimisation)
EV171	No information available
EV172	Reporting information on EV charging status to occupants
EV173	Reporting information on EV charging status to occupants AND automatic
	identification and authorisation of the driver to the charging station (ISO 15118
	compliant)

Label	Input Data or Organisms for the Genetic Model/Service for Smart-Ready Services and Their Functionality Levels from the Original SRI Methodology [12]
MC31	Manual setting
MC32	Runtime setting of heating and cooling plants following a predefined time schedule
MC33	Heating and cooling plant on/off control based on building loads
MC34	Heating and cooling plant on/off control based on predictive control or grid signals
MC41	No central indication of detected faults and alarms
MC42	With central indication of detected faults and alarms for at least two relevant
MC43	TBS
MC44	With central indication of detected faults and alarms for all relevant TBS With central indication of detected faults and alarms for all relevant TBS,
MC91	including diagnosing functions
MC92	None
MC93	Occupancy detection for individual functions, e.g., lighting Centralised occupant detection which feeds into several TBS, such as lighting
	and heating
MC131	None
MC132	Central or remote reporting of real-time energy use per energy carrier Central or remote reporting of real-time energy use per energy carrier,
MC133	combining TBS of at least two Domains in one interface Central or remote reporting of real-time energy use per energy carrier,
MC134	combining TBS of all main Domains in one interface None—No harmonisation between grid and TBS; building is operated
MC251	independently from the grid load Demand-side management possible for (some) individual TBS, but not
MC252	coordinated over various Domains Coordinated demand side management of multiple TBS
MC253	None
MC281	Reporting information on current DSM status, including managed energy
MC282	flows
MC283	Reporting information on current historical and predicted DSM status, including managed energy flows
MC291	No DSM control
MC292	DSM control without the possibility to override this control by the building user (occupant or facility manager)
MC293	Manual override and reactivation of DSM control by the building user
MC294	Scheduled override of DSM control (and reactivation) by the building user
MC295	Scheduled override of DSM control and reactivation with optimised control
MC301	None
MC302	Single platform that allows manual control of multiple TBS
MC303	Single platform that allows automated control and coordination between TBS
MC304	Single platform that allows automated control and coordination between TBS + optimisation of energy flow based on occupancy, weather, and grid signals

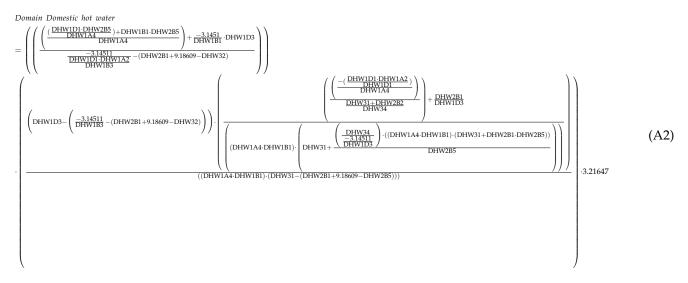
Table A9. Conversion Table of variables for the monitoring and control Domain.

## Appendix B

The equation form of the genetic programming model for heating is as follows:



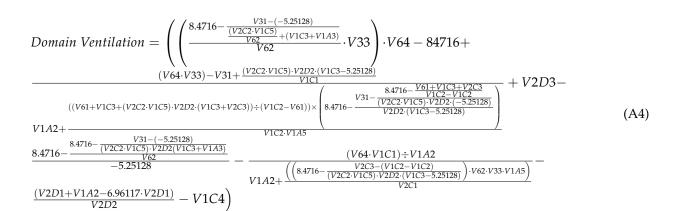
The equation form of the genetic programming model for domestic hot water is as follows:



The equation form of the genetic programming model for cooling is as follows:

$$\begin{aligned} Domain \ cooling &= \left( \left( \left( (C1B2 + C2A1) - 7.66353 \right) - \left( \left( C1A4 \cdot \frac{((C1A4 \cdot C1F1) \cdot C34) \cdot ((C1F1 - C43) - (C1A4 \cdot (7.66353 + C1F1))))}{C43} \right) \cdot C1F3 \right) \right) \\ &- (C1D3 \cdot C1D1) \right) \cdot ((C2A1 - 7.66353) + C43 - (C1F1 - C1F3)) \\ &- \left( ((C43 - (C2A1 - C1F1)) \cdot C1D3) \cdot \left( C1D5 + \frac{(C1A4 \cdot ((C2A1 - 7.66353) + C43 + C1F1)) \cdot (C34) \cdot 7.66353}{(C43 + (C43 - (C1F1 - C43)) - (C1F1 - C1F3))} \right) \\ &+ (C43 - (C2A1 - C1F1)) + (C43 \cdot C34) \right) \cdot 7.66353 - ((C1F1 - C43) - (C1F1 - C1F3)) \\ &- \left( C1D3 + \frac{(C1D5 + (C1F1 - 7.66353) + \frac{(((C43 - (C1F1 - 7.66353) + (C43 \cdot C34)))}{(C1F1 - C43) \cdot (C1A4 \cdot (7.66353 + C43 + (C43 \cdot C34)))} \right) \\ &- \left( C1D3 + \frac{(C1D5 + (C1F1 - 7.66353) + \frac{(((C43 - (C1F1 - 7.66353) + (C43 \cdot C34)))}{(C1F1 - C43) \cdot (C1A4 \cdot (7.66353 + C43 + (C43 \cdot C1F3)))} \right) \\ &- \left( C1D3 + \frac{(C1D5 + (C1F1 - 7.66353) + (C43 \cdot (C43 \cdot C1F3)))}{7.66353} \right) \end{aligned}$$

The equation form of the genetic programming model for ventilation is as follows:



#### The equation form of the genetic programming model for lighting is as follows:

$$Domain \ Lighting = \left(\frac{L25 - L1A3}{\left(\left(\left(L21 + \left(\frac{(L23 + L23) + L1A3}{L1A1} \cdot 3.27069\right)\right) \cdot (L25 - L1A4\right)\right) - L24\right) - L22} \cdot L1A1\right) \times \left((L25 - L22) - \left(\frac{L23 + L23 + L22}{-0.160899}\right) \cdot \left(\frac{(L25 - L1A3) \cdot L1A1}{L24 + (L1A4 + L21)}\right)\right) - \left((L23 + L23 + L22) \cdot (-0.160899)\right) \cdot \left(\frac{\left(\frac{(L23 + L1A3)}{(L25 - L1A3)}\right) \cdot L1A1}{\left(\left(\frac{L24}{L1A4 + L1A4}\right) + L1A2\right) + \frac{L22}{L22}}\right) + \left(3.27069\right) \cdot \left(\frac{L24}{L1A4 + L1A3}\right) \cdot \left(L1A3 - \left(L1A1 + \frac{(L23 + L1A3)}{L22}\right)\right) \cdot \left(\frac{(L1A2 \times L22)}{L24}\right) + \left(\frac{L24}{L1A4 + L1A4} + L1A2\right) \div \left(\frac{L23 + L22}{L1A3}\right)$$

The equation form of the genetic programming model for the dynamic building envelope (DBE) is as follows:

$$Domain Dynamic building envelope = \left( (DE41 - (5.87984 - (DE11 \cdot DE22))) \cdot \left( DE44 + \left( DE44 - \left( DE15 + \left( (DE42 - DE44) + \frac{DE22 \cdot DE11}{DE24 \cdot DE44} + DE12 + DE23 \right) \right) \right) \right) \right) + ((DE42 - DE44) + \frac{DE22 \cdot DE11}{(DE42 - DE13) + (DE22 - DE13) + \frac{(DE42 - DE13) + (DE22 - DE13) + \frac{(DE42 - DE44) + \frac{DE22 \cdot DE11}{DE24} \cdot DE14}{DE21} + (DE42 - DE13) + (DE22 - DE13) + \frac{(DE42 - DE44) + \frac{DE22 \cdot DE11}{DE24} \cdot DE14}{DE21} - (DE42 - DE13) + (DE22 - DE13) + \frac{(DE42 - DE44) + \frac{DE22 \cdot DE11}{DE11} - (DE42 - DE22)}{DE24} - DE13 + DE22} \right) - (DE42 - DE42)$$

$$(A6)$$

#### The equation form of the genetic programming model for electricity is as follows:

$$Domain \ Electricity = \left(-\frac{EL35}{\frac{(EL124 - EL125) \cdot (EL122 - 7.29756)}{(EL122 - EL125) \cdot (EL122 - 7.29756)}}{\frac{(EL124 - EL125) \cdot (EL122 - 7.29756)}{(EL122 - (EL122 - 2.29756)) - (EL82 - \frac{EL31}{EL114})}}\right) - \left(\left(\frac{\left(\frac{EL124 - EL125}{(EL122 - (-7.29756))}\right) - EL125}{(EL122 - (-7.29756)) - (EL82 - \frac{EL31}{EL115})} - 8.69097\right) - 8.69097\right) - \left(A7\right)$$

$$\left(EL81 - \left(\left((EL122 \cdot EL34) + (EL122 \cdot EL41)\right) - \left(EL82 \cdot \left((EL35 - EL33) - \frac{(EL124 \cdot EL51)}{(EL124 - EL125) \cdot (-7.29756)} - \frac{(EL124 - EL125) \cdot (-7.29756)}{(EL82 - \frac{EL125}{EL112})}\right)\right)\right)\right)\right)$$

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